

# Reed-Solomon codes

Coding Technology

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# Reminder: nonbinary block codes, $\text{GF}(q)$ for $q$ prime

$q$ -ary symmetric channel.

General nonbinary block coding scheme.

Hamming bound, perfect codes. Singleton bound, MDS codes.

Galois fields: finite fields with  $q$  elements.

- ▶  $q$  prime  $\rightarrow$  mod  $q$  arithmetic
- ▶  $q = p^m$  prime power  $\rightarrow$  different arithmetic, to be defined later

# Linear nonbinary codes

A function  $\psi$  between two linear spaces is linear if for any  $u_1, u_2$  vectors and  $s_1, s_2$  scalars,

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If  $\psi$  is a  $\text{GF}(q)^k \rightarrow \text{GF}(q)^n$  linear function, then there exists a  $k \times n$  matrix  $G$  over  $\text{GF}(q)$  such that

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If, for some (nonbinary) error correction code, the  $\psi : u \rightarrow c$  function is linear, then we call it a linear code.

The matrix  $G$  is called the generator matrix of the code.

# Linear nonbinary codes

For linear nonbinary codes, we define the parity check matrix  $H$  the same as for linear binary codes. For a  $C(n, k)$  linear code with generator matrix  $G$ , we call an  $(n - k) \times n$  matrix  $H$  a parity-check matrix if the rows of  $H$  are linearly independent, and

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For any linear nonbinary code,

$$d_{\min} = \min_{c \neq 0} w(c).$$

# Systematic linear nonbinary codes

For systematic linear codes,  $G$  and  $H$  have a nice structure.

## Theorem

*Assume we have a linear code with generator matrix  $G$ . The following three properties are equivalent:*

- ▶ *the code is systematic;*
- ▶ *the leftmost  $k \times k$  block of  $G$  is the identity matrix;*
- ▶ *the rightmost  $(n - k) \times (n - k)$  block of  $H$  is the identity matrix.*

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Moreover,

$$G = [I_k | B] \quad \implies \quad H = [-B^T | I_{n-k}].$$

*( $B$  is of size  $k \times (n - k)$ ).*

# Tetracode

Example. The tetracode is a  $C(4, 2)$  ternary ( $q = 3$ ) code.

$$(00) \rightarrow (0000)$$

$$(01) \rightarrow (0112)$$

$$(02) \rightarrow (0221)$$

$$(10) \rightarrow (1011)$$

$$(11) \rightarrow (1120)$$

$$(12) \rightarrow (1202)$$

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The tetracode is a linear code with generator matrix

$$G = \begin{bmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 2 \end{bmatrix}$$

# Syndrome decoding

Decoding is syndrome-based:

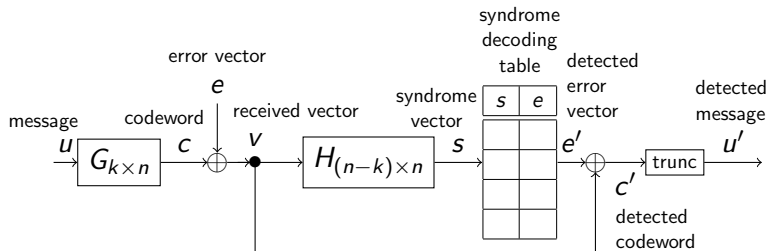
- ▶ from the received vector  $v$ , we compute the syndrome vector  $s = vH^T$ ;
- ▶ from  $s$ , we guess the detected error vector  $e'$  using the syndrome decoding table;
- ▶ the detected codeword is  $c' = v \oplus e'$ ;
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The general coding scheme is the following:



# Reed-Solomon codes

Next we consider the  $C(n, k)$  Reed-Solomon code over  $GF(q)$ , generated by the primitive element  $\alpha \in GF(q)$ .

►  $1 \leq k < n \leq q - 1$



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Its generator matrix is

$$G = \begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \alpha & \alpha^2 & \dots & \alpha^{n-1} \\ \vdots & & & \ddots & \vdots \\ 1 & \alpha^{k-1} & \alpha^{2(k-1)} & \dots & \alpha^{(n-1)(k-1)} \end{bmatrix}.$$

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(It is not systematic.)

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(What is their Hamming distance?)



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## Theorem

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Proof. Let  $u = (u_0 u_1 \dots u_{k-1})$  be a nonzero message vector and  $c = uG = (c_0 c_1 \dots c_{n-1})$  the corresponding codeword. Then

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$$c_0 = u_0 + u_1 + \dots + u_{k-1}$$

$$c_1 = u_0 + u_1 \alpha^1 + \dots + u_{k-1} \alpha^{k-1}$$

$$c_2 = u_0 + u_1 \alpha^2 + \dots + u_{k-1} \alpha^{2(k-1)}$$

$$\vdots$$

$$c_{n-1} = u_0 + u_1 \alpha^{n-1} + \dots + u_{k-1} \alpha^{(n-1)(k-1)}$$

# Reed-Solomon codes

Using the notation

$$u(x) := u_0 + u_1x + u_2x^2 + \dots u_{k-1}x^{k-1},$$

this can be written as

$$c_0 = u(1)$$

$$c_1 = u(\alpha)$$

$$c_2 = u(\alpha^2)$$

$$\vdots$$

$$c_{n-1} = u(\alpha^{n-1})$$

# Reed-Solomon codes

Then

$$\begin{aligned}w(c) &= \#\{\text{nonzero coordinates of } c\} = \\&= n - \#\{\text{zero coordinates of } c\} \geq \\&\geq n - \#\{\text{roots of } u(x)\} \geq \\&\geq n - \deg(u) = n - k + 1,\end{aligned}$$

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while the Singleton bound states

$$d_{\min} = \min_{c \neq 0} w(c) \leq n - k + 1,$$

so

$$d_{\min} = n - k + 1$$

and RS codes are MDS.

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- ▶ detect  $n - k$  errors, and
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Example. The  $C(4,2)$  RS code over  $GF(5)$  has

$$d_{\min} = n - k + 1 = 3$$

and it can correct  $\lfloor \frac{n-k}{2} \rfloor = 1$  error.

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 $n = q - 1$ .

For a fixed  $k$ , this choice offers the highest error correction capability.

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In this course, we will generally assume  $n = q - 1$ , but also highlight the specific differences in properties of RS codes for  $n = q - 1$  and  $n < q - 1$  whenever relevant.

# Reed-Solomon codes

Elements of the second row of  $G$ ,

$$[1 \quad \alpha \quad \alpha^2 \quad \dots \quad \alpha^{n-1}]$$

are called evaluation points (see the previous proof). If  $n < q - 1$ , sometimes different evaluation points are used. Such codes are also called Reed-Solomon codes, but we do not pursue this direction.

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RS codes are sometimes called evaluation codes for the same reason.

# Reed-Solomon codes

## Theorem

*In case  $n = q - 1$ , the following  $H$  is a good parity check matrix for  $G$ :*

$$H = \begin{bmatrix} 1 & \alpha & \alpha^2 & \dots & \alpha^{n-1} \\ 1 & \alpha^2 & \alpha^4 & \dots & \alpha^{2(n-1)} \\ \vdots & & & \ddots & \vdots \\ 1 & \alpha^{n-k} & \alpha^{2(n-k)} & \dots & \alpha^{(n-k)(n-1)} \end{bmatrix}$$



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Proof. Based on  $G$ , the codeword  $c = (c_0 c_1 \dots c_{n-1})$  corresponding to message  $u = (u_0 u_1 \dots u_{k-1})$  has coordinates

$$c_i = \sum_{j=0}^{k-1} u_j \alpha^{ij}, \quad 0 \leq i \leq n-1.$$

## Reed-Solomon codes

Then we need to show  $cH^T = 0$ ; coordinate  $\ell$  of  $cH^T$  is

$$\sum_{i=0}^{n-1} c_i \alpha^{i\ell} = \sum_{i=0}^{n-1} \sum_{j=0}^{k-1} u_j \alpha^{ij} \alpha^{i\ell} = \sum_{j=0}^{k-1} u_j \sum_{i=0}^{n-1} \alpha^{i(j+\ell)}$$

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Here,  $0 \leq j \leq k-1$  and  $1 \leq \ell \leq n-k$ , so  $1 \leq j+\ell \leq n-1$ , so  $\alpha^{j+\ell} \neq 1$ , and

$$\sum_{i=0}^{n-1} \alpha^{i(j+\ell)} = \frac{\alpha^{n(j+\ell)} - 1}{\alpha^{j+\ell} - 1} = \frac{1^{j+\ell} - 1}{\alpha^{j+\ell} - 1} = 0.$$

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This implies

$$\sum_{i=0}^{n-1} c_i \alpha^{i\ell} = 0 \quad (\ell = 1, \dots, n-k),$$

so  $H$  is indeed a valid parity check matrix for  $G$ .

# RS decoding

Syndrome-based decoding is fine for small RS codes, but the syndrome decoding table can get large. What is the size of the table as a function of  $q$ ,  $n$  and  $k$ ?

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Syndrome vectors have length  $n - k$ , so the syndrome decoding table has  $q^{n-k}$  rows. Already for values like  $q = 7$  and  $n - k = 4$ , the table has several thousand rows, which is not very practical.

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We look for more efficient decoding methods.

# Error locator algorithm

For RS decoding, we are going to use the Error locator algorithm (also known as the Peterson-Gorenstein-Zierler algorithm).



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To reconstruct the error vector  $e$ , we need

- ▶ the number of errors  $t = w(e)$  (we assume  $t \leq \lfloor \frac{n-k}{2} \rfloor$ );
- ▶ the location of the errors  $0 \leq i_1 < i_2 < \dots < i_t \leq n-1$ ;
- ▶ the value of the errors  $e_{i_1}, e_{i_2}, \dots, e_{i_t}$ .

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Preparations first. We use the notation

$$X_j = \alpha^{i_j}, \quad Y_j = e_{i_j}.$$

$X_j$  are referred to as error locators.

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The coordinates of the syndrome vector  $s = eH^T = vH^T$  are  $s = (s_1 \ s_2 \ \dots \ s_{n-k})$ .

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The coordinates of this equation are

$$\sum_{i=0}^{n-1} e_i \alpha^{\ell_i} = \sum_{j=1}^t e_{i_j} \alpha^{\ell_{i_j}} = s_\ell \quad (\ell = 1, 2, \dots, n - k);$$

with the  $X_j, Y_j$  notation, this is

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This is a nonlinear system of equations with unknowns  $t, X_1, \dots, X_t, Y_1, \dots, Y_t$ .

# Error locator algorithm

We aim to reduce the solution of this system to two systems of linear equations which can be solved consecutively.

The solution of the first system will provide the values of  $X_1, \dots, X_t$ .

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The solution of the first system will provide the values of  $X_1, \dots, X_t$ .

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We aim to compute the polynomial  $L(x)$ ; once  $L(x)$  is known, compute its roots, which are  $X_1^{-1}, \dots, X_t^{-1}$ , to get  $X_1, \dots, X_t$ .

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Once  $X_1, \dots, X_t$  are available, the equation is linear in the  $Y_j$ 's, and has a unique solution.

# Error locator algorithm

Since  $X_j^{-1}$  is a root of  $L(x)$ , for any  $\ell$  and  $j$  we have

$$Y_j X_j^{\ell+t} L(X_j^{-1}) = 0,$$

$$\sum_{j=1}^t Y_j X_j^{\ell+t} L(X_j^{-1}) = 0,$$

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which simplifies to

$$L_1 s_{\ell+t-1} + L_2 s_{\ell+t-2} + \cdots + L_t s_t = -s_{\ell+t} \quad (\ell = 1, \dots, t)$$

# Error locator algorithm

Introducing more notation:

$$U_r = \begin{bmatrix} s_1 & s_2 & \dots & s_r \\ s_2 & s_3 & \dots & s_{r+1} \\ \vdots & \vdots & \ddots & \vdots \\ s_r & s_{r+1} & \dots & s_{2r-1} \end{bmatrix}$$

then we obtain the system of linear equations

$$\begin{bmatrix} L_t & L_{t-1} & \dots & L_1 \end{bmatrix} \cdot U_t^T = \begin{bmatrix} -s_{t+1} & -s_{t+2} & \dots & -s_{2t} \end{bmatrix}.$$

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$\det U_t \neq 0$ , but  $\det U_r = 0$  if  $r > t$  (no proof, but based on Vandermonde structure).

## Error locator algorithm

Based on the above, the Error locator algorithm is the following:

1. Compute  $s_1, s_2, \dots, s_{n-k}$ .
2. Find the largest  $r$  for which  $U_r$  is invertible. This will give the value of  $t$ .
3. Solve

$$\begin{bmatrix} L_t & L_{t-1} & \dots & L_1 \end{bmatrix} \cdot U_t^T = \begin{bmatrix} -s_{t+1} & -s_{t+2} & \dots & -s_{2t} \end{bmatrix}.$$

to obtain the  $L_1, \dots, L_t$  values.

4. Find the roots of  $L(x)$ , then the inverse of the roots are  $X_1, X_2, \dots, X_t$ .
5. Solve the system of equations

$$\sum_{j=1}^t Y_j X_j^\ell = s_\ell \quad (\ell = 1, 2, \dots, n-k)$$

which is linear for  $Y_j$  since the  $X_j$ 's are available.

6. Compute the error vector  $e$  from the  $X_j$ 's and  $Y_j$ 's.

# Error locator algorithm

For small  $n - k$ , the Error locator algorithm is computationally fast.



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Similar versions of the algorithm can be used for other codes, not just RS.

We will also discuss another decoder for RS codes - after some more preparation.

# Reed-Solomon codes

Example. The codewords of the  $C(4,2)$  RS code over  $GF(5)$  using the primitive element 2:

$(00) \rightarrow (0000)$	$(20) \rightarrow (2222)$	$(40) \rightarrow (4444)$
$(01) \rightarrow (1243)$	$(21) \rightarrow (3410)$	$(41) \rightarrow (0132)$
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# Cyclic codes

A code is cyclic if for any codeword

$$c = (c_0 \ c_1 \ c_2 \ \dots \ c_{n-1}),$$

its cyclically shifted version

$$Sc = (c_{n-1} \ c_0 \ c_1 \ \dots \ c_{n-2})$$

is also a codeword.  $S$  is the cyclic shift operator.

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Linear cyclic codes can be described very efficiently using code polynomials.

# Code polynomials

So far, the language used to describe linear codes has been vectors and matrices. But there is another language to do that, with polynomials, which can be even better.

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We assign polynomials over  $\text{GF}(q)$  to messages and codewords.

For any message vector  $u = (u_0 u_1 \dots u_{k-1}) \in \text{GF}(q)^k$ ,

$$u(x) = u_0 + u_1 x + \dots + u_{k-1} x^{k-1}.$$

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We can similarly assign polynomials to error vectors, received vectors etc.

Note that the terms are in increasing order of degree.

# Polynomials over $\text{GF}(q)$

Brief summary of polynomials over  $\text{GF}(q)$ .

$$a(x) = a_0 + a_1x + a_2x^2 + \cdots + a_mx^m; a_0, a_1, a_2, \dots, a_m \in \text{GF}(q)$$

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Roots:  $x_1, \dots, x_m \in \text{GF}(q)$ :  $a(x_i) = 0, i = 1, \dots, m$

If  $\deg(a(x)) = m$ , then  $a(x)$  has  $\leq m$  roots.

If  $\deg(a(x)) = m$  and  $a(x)$  has  $m$  roots  $x_1, \dots, x_m$ , then

$$a(x) = a_m \prod_{i=1}^m (x - x_i).$$



## Polynomials over $\text{GF}(q)$

Polynomial division with remainder: given  $a(x)$  and  $d(x)$  with  $\deg(a(x)) = m > \deg(d(x)) = k$ ,

$$\exists q(x), r(x) : \quad a(x) = q(x)d(x) + r(x); \quad \deg(r(x)) < k.$$

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For polynomials, the cyclic shift operator is

$$Sc(x) = xc(x) \bmod x^n - 1$$

# Linear cyclic codes

## Theorem

*For any  $C(n, k)$  cyclic linear code, there is a unique  $g(x)$  of degree  $n - k$  with main coefficient  $g_{n-k} = 1$  such that for any vector  $c$  of length  $n$ ,*

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$$c \text{ is a codeword} \iff g(x) | c(x).$$

$g(x)$  is called the generator polynomial of the code.

# Linear cyclic codes

Proof. Assume a code is linear and cyclic. From among the codewords, select the one whose code polynomial has minimal degree. Due to linearity, we can assume the main coefficient is 1 (otherwise we just divide by the main coefficient).



# Linear cyclic codes

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Let this polynomial be  $g(x)$ , with  $\deg(g(x)) = r$ . We aim to prove the following:

- (a) for any polynomial  $u(x)$  with  $\deg(u(x)) \leq n - r - 1$ ,  $g(x)u(x)$  is a code polynomial;
- (b)  $g(x)$  divides every code polynomial;
- (c) there is no other  $g'(x)$  with these properties;
- (d)  $\deg(g(x)) = r = n - k$ .

# Linear cyclic codes

(a) The code is cyclic and  $g(x)$  is a code polynomial, so

$$g(x), xg(x), x^2g(x), \dots, x^{n-r-1}g(x)$$

are all code polynomials, and due to linearity, for any  $u(x) = u_0 + u_1x + \dots + u_{n-r-1}x^{n-r-1}$ ,

$$u(x)g(x) = u_0g(x) + u_1xg(x) + \dots + u_{n-r-1}x^{n-r-1}g(x)$$

is also a code polynomial.

# Linear cyclic codes

- (b) For any  $c(x)$  code polynomial, either  $g(x)|c(x)$ , or the polynomial division

$$r(x) = c(x) \bmod g(x)$$

has a nonzero remainder  $r(x)$  with  $\deg(r(x)) < \deg(g(x))$ . But then  $r(x)$  is also a code polynomial (due to linear and cyclic code), which contradicts  $g(x)$  having minimal degree. So  $g(x)|c(x)$ .

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- (c) If there was another  $g'(x)$  with the same properties as  $g(x)$ , then  $g(x) - g'(x)$  would be a code polynomial that divides all code polynomials, which once again contradicts  $\deg(g(x))$  being minimal.

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- (d) If  $\deg(g(x)) = r$ , then  $g(x)$  has  $q^{n-r}$  multiples, which must be equal to the number of codewords, which is  $q^k$  for a  $C(n, k)$  code, and  $r = n - k$  follows.

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*Conversely, for any polynomial  $g(x) \mid x^n - 1$  with  $\deg(g(x)) = n - k$  and main coefficient  $g_{n-k} = 1$ , there is a  $C(n, k)$  linear cyclic code with generator polynomial  $g(x)$ .*

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Proof. Since  $g$  has degree  $n - k$ ,

$$S^{k-1}g(x) = x^{k-1}g(x),$$

$$S^k g(x) = x^{k-1}g(x) - (x^n - 1)$$

are both code polynomials, so divisible by  $g(x)$ , but then

$$g(x) \mid S^{k-1}g(x) - S^k g(x) = x^n - 1.$$



# Linear cyclic codes

For the converse, consider a polynomial  $g(x) \mid x^n - 1$  with  $\deg(g(x)) = n - k$ . Then the set of codewords corresponding to multiples of  $g(x)$  is...

- ▶ clearly linear, and
- ▶ has  $q^k$  elements,
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Take a polynomial  $c(x) = a(x)g(x)$  from this set. We need to prove  $Sc(x)$  is also a multiple of  $g(x)$ .

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- ▶ If  $\deg(a(x)) = k - 1$ , then  $Sc(x) = xc(x) - a_{k-1}(x^n - 1)$ , which is also divisible by  $g(x)$  due to  $g(x) \mid x^n - 1$ .

# Linear cyclic codes

Basically, the previous two theorems say that there is a one-to-one correspondence between cyclic linear codes and generator polynomials.

So, are RS codes cyclic linear codes? If yes, then  $g(x)$  is a code polynomial with minimal degree and main coefficient 1.

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The list of code polynomials can be obtained by converting all codewords into polynomials, for example,

$$(1\ 2\ 4\ 3) \rightarrow 1 + 2x + 4x^2 + 3x^3$$

$$(4\ 2\ 3\ 0) \rightarrow 4 + 2x + 3x^2$$

## C(4,2) RS code

Can you find the vector corresponding to the generator polynomial from the list of codewords of the C(4,2) RS code over GF(5) using the primitive element 2?

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It should end with as many 0's as possible, with a 1 before that

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$(11) \rightarrow (2304)$	$(31) \rightarrow (4021)$	
$(12) \rightarrow (3042)$	$(32) \rightarrow (0214)$	
$(13) \rightarrow (4230)$	$(33) \rightarrow (1402)$	
$(14) \rightarrow (0423)$	$(34) \rightarrow (2140)$	

It should end with as many 0's as possible, with a 1 before that  $\rightarrow$   
 $g = (3410)$  and

$$g(x) = 3 + 4x + x^2.$$



# Reed-Solomon codes

## Theorem

*A  $C(n, k)$  RS code over  $GF(q)$  with  $n = q - 1$  using primitive element  $\alpha$  is a cyclic linear code with generator polynomial*

$$g(x) = \prod_{i=1}^{n-k} (x - \alpha^i).$$

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A few code polynomials:

$$(1\ 2\ 4\ 3) \rightarrow 1 + 2x + 4x^2 + 3x^3 = (2 + 3x)(3 + 4x + x^2),$$

$$(0\ 3\ 4\ 1) \rightarrow 3x + 4x^2 + x^3 = x(3 + 4x + x^2),$$

$$(4\ 4\ 4\ 4) \rightarrow 4 + 4x + 4x^2 + 4x^3 = (3 + 4x)(3 + 4x + x^2).$$

# Reed-Solomon codes

Proof. The generator matrix  $G$  and parity check matrix  $H$  of the  $C(n, k)$  RS code with  $n = q - 1$ , generated by primitive element  $\alpha$  are

$$G = \begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \alpha & \alpha^2 & \dots & \alpha^{n-1} \\ \vdots & & & \ddots & \vdots \\ 1 & \alpha^{k-1} & \alpha^{2(k-1)} & \dots & \alpha^{(n-1)(k-1)} \end{bmatrix}$$
$$H = \begin{bmatrix} 1 & \alpha & \alpha^2 & \dots & \alpha^{n-1} \\ 1 & \alpha^2 & \alpha^4 & \dots & \alpha^{2(n-1)} \\ \vdots & & & \ddots & \vdots \\ 1 & \alpha^{n-k} & \alpha^{2(n-k)} & \dots & \alpha^{(n-k)(n-1)} \end{bmatrix}$$

# Reed-Solomon codes

For a codeword  $c = (c_0 c_1 \dots c_n)$ ,  $cH^T = 0$  means

$$\sum_{j=0}^{n-1} c_j \alpha^{ij} = 0 \quad i = 1, \dots, n - k$$

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But this means that  $\alpha^1, \dots, \alpha^{n-k}$  are roots of  $c(x)$ , so

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The main coefficient of  $g(x)$  is 1, so it is the generator polynomial of the RS code.

It also follows directly that RS codes with  $n = q - 1$  are indeed cyclic.

# Reed-Solomon codes

The fact that every codeword is a multiple of  $g(x)$  means that it is possible to assign the codewords to the message vectors using

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$$u = (1\ 2) \rightarrow u(x) = 1 + 2x$$

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This assignment is different from  $u \rightarrow c = uG$ . It is not systematic either, but polynomial multiplication can be computed very efficiently (architecture coming soon).

# Reed-Solomon codes – assignment I

Example. The  $C(4,2)$  RS code over  $GF(5)$  using the primitive element 2, codeword assignment based on  $u \rightarrow c = uG$ :

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$(14) \rightarrow (0423)$	$(34) \rightarrow (2140)$	

## Reed-Solomon codes – assignment II

Example. The  $C(4,2)$  RS code over  $GF(5)$  using the primitive element 2, codeword assignment based on  $c(x) = u(x)g(x)$ :

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## Reed-Solomon codes – assignment III

Example. The  $C(4,2)$  RS code over  $GF(5)$  using the primitive element 2, systematic codeword assignment:

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# Systematic generation

In general, a cyclic linear code with generator polynomial  $g(x) = g_0 + g_1x + \cdots + g_{n-k}x^{n-k}$  can be generated systematically by the generator matrix

$$G = \begin{bmatrix} 1 & g'_1 & g'_2 & \cdots & g'_{n-k-1} & g'_{n-k} & 0 & \cdots & 0 & 0 \\ 0 & 1 & g'_1 & \cdots & g'_{n-k-2} & g'_{n-k-1} & g'_{n-k} & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & & \vdots \\ 0 & 0 & 0 & \cdots & 1 & g'_1 & g'_2 & \cdots & g'_{n-k} & 0 \\ 0 & 0 & 0 & \cdots & 0 & 1 & g'_1 & \cdots & g'_{n-k-1} & g'_{n-k} \end{bmatrix}$$

where  $g'_i = g_i/g_0$ . ( $g_0$  cannot be 0 since  $g(x)|x^n - 1$ .)



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where  $g'_i = g_i/g_0$ . ( $g_0$  cannot be 0 since  $g(x)|x^n - 1$ .) For code polynomials, the formula for systematic assignment is

$$c(x) = u(x)x^{n-k} - (u(x)x^{n-k} \bmod g(x)).$$

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- ▶ Hamming codes are also cyclic (at least for some orderings of the columns of the parity check matrix  $H$ ). Generator polynomials:
  - ▶  $C(7,4)$ :  $g(x) = 1 + x + x^3$ ;
  - ▶  $C(15,11)$ :  $g(x) = 1 + x + x^4$  or  $g(x) = 1 + x^3 + x^4$ ;
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- ▶ the  $C(23,12)$  Golay code is also cyclic with either  $g(x) = 1 + x^2 + x^4 + x^5 + x^6 + x^{10} + x^{11}$  or  $g(x) = 1 + x^1 + x^5 + x^6 + x^7 + x^9 + x^{11}$ .

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Not cyclic: Hadamard.

# Systematic code generation



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- Polynomials are smaller than matrices. E.g. the  $C(6,4)$  RS code over  $GF(7)$  generated by the primitive element 5 has

$$G = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 5 & 4 & 6 & 2 & 3 \\ 1 & 4 & 2 & 1 & 4 & 2 \\ 1 & 6 & 1 & 6 & 1 & 6 \end{bmatrix}$$

and

$$g(x) = 4 + 2x + 3x^2 + 6x^3 + x^4.$$

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- The computational cost of polynomial multiplication is comparable to matrix-vector multiplication.
- Efficient decoding methods for polynomials (coming soon).

## Brief summary of code polynomials (so far)

Every cyclic linear  $C(n, k)$  code over  $\text{GF}(q)$  has a generator polynomial  $g(x)$  over  $\text{GF}(q)$  such that

- ▶  $c(x)$  is a code polynomial  $\iff g(x) \mid c(x)$ ;
- ▶  $g(x) \mid x^n - 1$ ;
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- ▶ the main coefficient of  $g(x)$  is  $g_{n-k} = 1$ .

We can generate codewords from message vectors with

$$c(x) = u(x)g(x).$$

This gives a different  $u \rightarrow c$  assignment than matrix-vector multiplication.

# Decoding with generator polynomials

For encoding in polynomial form, we will stick to using

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The syndrome polynomial assigned to a received code polynomial  $v(x)$  is

$$s(x) = v(x) \bmod g(x)$$

A received polynomial  $v(x)$  is a codeword  $\iff s(x) = 0$ .



# Error trapping algorithm

Next we present the Error trapping algorithm for decoding RS codes. It has more limited error correction capability than the Error locator algorithm, but it is much faster at any parameter setup.

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This restriction is outside the usual definition of error correction capabilities, but for some physical channels (not the  $q$ -ary symmetric channel), errors typically occur in bursts, and the Error trapping algorithm is very relevant in such situations.

# Error trapping algorithm

Assume we have a  $C(n, k)$  RS code over  $GF(q)$ , and the error vector  $e$  is such that

- ▶  $w(e) \leq \frac{n-k}{2}$ ;
- ▶ all errors in  $e$  occur within an interval of  $(n - k)$  consecutive digits.

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Dividing the polynomial  $v(x)$  by  $g(x)$  yields

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where  $\deg(r(x)) < \deg(g(x)) = n - k$ .

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Do the two equations imply  $r(x) = e(x)$ ?

## Error trapping algorithm

No,  $r(x) = e(x)$  is not implied. We know that  $\deg(r(x)) < n - k$ , but  $\deg(e(x))$  can be higher, up to  $n - 1$ .



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However, we assumed that  $e$  has all errors within coordinates  $[i, i + n - k - 1]$  for some  $i$ . Assume for a moment that the value of  $i$  is available. Then applying  $S^{-i}$  in advance for the previous calculations shifts the nonzero terms of  $e(x)$  to the front; in other words,  $\deg(S^{-i}e(x)) < n - k$ .

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Then

$$S^{-i}v(x) = S^{-i}u(x)g(x) + S^{-i}e(x)$$

$$S^{-i}v(x) = S^{-i}a(x)g(x) + r(x),$$

and now  $S^{-i}e(x) = r(x)$  is implied since  $S^{-i}e(x) - r(x)$  is a codeword, so it is a multiple of  $g(x)$ , but  $\deg(S^{-i}e(x) - r(x)) < \deg(g(x))$ , so  $S^{-i}e(x) - r(x)$  must be 0.

## Error trapping algorithm

Based on the previous calculation, if we knew the position  $i$  where the errors start in  $e(x)$ , we could do the following:

- ▶ compute the polynomial division  
 $S^{-i}v(x) = S^{-i}a(x)g(x) + r(x)$ , and
- ▶ the detected error polynomial is  $e'(x) = S^i r(x)$ .

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So how can we obtain  $i$ ?

Let  $i$  be any position (not necessarily where the errors in  $e(x)$  start), and compute the polynomial division

$$S^{-i}v(x) = S^{-i}a(x)g(x) + r^{(i)}(x)$$

anyway.

## Error trapping algorithm

Based on the previous calculation, if we knew the position  $i$  where the errors start in  $e(x)$ , we could do the following:

- ▶ compute the polynomial division  
 $S^{-i}v(x) = S^{-i}a(x)g(x) + r(x)$ , and
- ▶ the detected error polynomial is  $e'(x) = S^i r(x)$ .

The issue is that the position  $i$  is not known in advance.

So how can we obtain  $i$ ?

Let  $i$  be any position (not necessarily where the errors in  $e(x)$  start), and compute the polynomial division

$$S^{-i}v(x) = S^{-i}a(x)g(x) + r^{(i)}(x)$$

anyway.

The polynomial  $S^{-i}e(x) - r^{(i)}(x) = S^{-i}v(x) - r^{(i)}(x)$  is a code polynomial, which means that either

$$S^{-i}v(x) - r^{(i)}(x) = 0 \quad \text{or} \quad w(S^{-i}v(x) - r^{(i)}(x)) \geq n - k + 1$$

because the RS code has minimal codeword distance  $n - k + 1$ .

# Error trapping algorithm

Based on this, the Error trapping algorithm is the following:

- ▶ For each value of  $i$  from 0 to  $n - 1$ , compute the polynomial division  $S^{-i}v(x) = S^{-i}a(x)g(x) + r^{(i)}(x)$ .
- ▶ Compute  $w(S^{-i}v(x) - r^{(i)}(x))$ .
- ▶ If  $w(S^{-i}v(x) - r^{(i)}(x)) \geq n - k + 1$ , move on to the next value of  $i$ .
- ▶ If  $w(S^{-i}v(x) - r^{(i)}(x)) < n - k + 1$ , then we stop;  $e'(x) = S^i r^{(i)}(x)$  must hold, and so the detected error polynomial is

$$e'(x) = S^i r^{(i)}(x).$$



# Error trapping algorithm

Based on this, the Error trapping algorithm is the following:

- ▶ For each value of  $i$  from 0 to  $n - 1$ , compute the polynomial division  $S^{-i}v(x) = S^{-i}a(x)g(x) + r^{(i)}(x)$ .
- ▶ Compute  $w(S^{-i}v(x) - r^{(i)}(x))$ .
- ▶ If  $w(S^{-i}v(x) - r^{(i)}(x)) \geq n - k + 1$ , move on to the next value of  $i$ .
- ▶ If  $w(S^{-i}v(x) - r^{(i)}(x)) < n - k + 1$ , then we stop;  $e'(x) = S^i r^{(i)}(x)$  must hold, and so the detected error polynomial is

$$e'(x) = S^i r^{(i)}(x).$$

If  $e(x)$  indeed contains all  $\leq \lfloor \frac{n-k}{2} \rfloor$  errors within an interval of length  $\leq n - k$ , then the algorithm is guaranteed to stop for at least one choice of  $i$ . (It is possible that several  $i$  positions are good, then we can use either of them.)